

McGuireWoods LLP  
1750 Tysons Boulevard  
Suite 1800  
McLean, VA 22102-4215  
Phone: 703.712.5000  
Fax: 703.712.5050  
[www.mcguirewoods.com](http://www.mcguirewoods.com)

Hae-Chan Park  
Direct: 703.712.5365  
Admitted in New York Only



McGRIEWOODS

T.D  
MP/ [initials]  
hpark@mcguirewoods.com  
Direct Fax: 703.712.5280

April 24, 2002

Assistant Commissioner for Patents  
Washington, DC 20231

RE: U.S. Utility Patent Application  
Application No. 09/977,684  
Filed: October 16, 2001  
**COLOR FILTER PLATE AND THIN FILM TRANSISTOR PLATE FOR LIQUID CRYSTAL DISPLAY, AND METHODS FOR FABRICATING THE PLATES**  
Inventor: Dong-Gyu KIM  
Our Ref: 6192.0273.AA

Sir:

In response to the Notice to File Missing Parts that was mailed on April 11, 2002 the following documents are forwarded herewith for appropriate action by the U.S. Patent and Trademark Office:

1. Response to Notice to File Missing Parts;
2. A copy of the Notice to Filing Missing Parts of Non-Provisional Application;
3. A copy of the date-stamped acknowledgement postcard;
4. A copy of our cover letter;
5. A copy of the Declaration and Power of Attorney that was filed on October 16, 2001; and
6. Acknowledgement postcard.

It is respectfully requested that the attached copy of the postcard be stamped with the filing date of these documents and returned to our courier.

U.S. Patent and Trademark Office  
April 24, 2002  
Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 23-1951 referencing docket number 6192.0273.AA.

Respectfully submitted,

  
Hae-Chan Park  
Reg. No. 50,114

HCP/tmk  
Enclosures

\COR\97650.33

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re application of:  
Dong-Gyu KIM

Application No.: 09/977,684

Confirmations No.: 3843

Filed: October 16, 2001

For: **COLOR FILTER PLATE AND THIN  
FILM TRANSISTOR PLATE FOR  
LIQUID CRYSTAL DISPLAY, AND  
METHODS FOR FABRICATING THE  
PLATES**

Group Art Unit: TBD

Examiner: TBD

Attorney Docket: 6192.0273.AA

**Response to Notice to File Missing Parts**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts mailed **April 11, 2002**, Applicant submits the following remarks.

***Remarks***

The notice to file missing part mailed April 11, 2002 indicates that the oath or declaration is missing. It further indicated that a late filing fee of \$130 be paid, to avoid abandonment. However, after reviewing the records, the Applicant found that properly executed Declaration and Power of Attorney had been filed with United States Patent and Trademark Office, when the present application was filed on October 16, 2001. Copies of relevant documents that were filed on October 16, 2001 are attached herewith.

Therefore, it is respectfully requested that the Notice to File Missing Parts of Nonprovisional Application mailed April 11, 2002 be withdrawn and forward to us an official filing receipt.

It is not believed that extensions of time or late filing fees are required beyond those that may otherwise be provided for in documents accompanying this paper. However, if additional extensions of time are necessary to prevent abandonment of this application, then such extensions of time are hereby petitioned under 37 C.F.R. § 1.136(a), and any fees required therefor or late filing fees under 37 C.F.R. § 1.16(l) are hereby authorized to be charged to our Deposit Account No. 23-1951 (McGuireWoods LLP).

Prompt and favorable consideration is respectfully requested.

Respectfully submitted,



Hae-Chan Park  
(Reg. No. 50,114)

Date: April 24, 2002

McGuire Woods LLP  
1750 Tysons Boulevard  
Suite 1800  
McLean, VA 22102-4215  
(703) 712-5000



October 16, 2001

1299 PENNSYLVANIA AVE., NW  
WASHINGTON, DC 20004-2402  
PHONE 202.783.0800  
FAX 202.383.6610  
A LIMITED LIABILITY PARTNERSHIP

***Box New Applications***

Assistant Commissioner for Patents  
Washington, DC 20231

Re: New Utility Non-Provisional U.S. Patent Application  
Application No. **TO BE ACCORDED** Filed **HEREWITH**  
Title: **COLOR FILTER PLATE AND THIN FILM TRANSISTOR  
PLATE FOR LIQUID CRYSTAL DISPLAY, AND  
METHODS FOR FABRICATING THE PLATES**  
Inventor: **Dong-Gyu KIM**  
Our Ref: **06192.0273.NPUS00**

Sir:

The following documents are forwarded herewith for appropriate action by the U.S. Patent and Trademark Office:

1. Form PTO SB/05;
2. Form PTO 1082;
3. U.S. Utility Patent Application entitled:  
**COLOR FILTER PLATE AND THIN FILM TRANSISTOR PLATE FOR LIQUID  
CRYSTAL DISPLAY, AND METHODS FOR FABRICATING THE PLATES**

and naming as inventors: **Dong-Gyu KIM**

the application consisting of:

a. A specification containing:

- (i) 20 pages of description prior to the claims;
- (ii) 5 pages of claims;
- (iii) a one (1) page abstract;

b 16 sheets of drawings: (**Figures 1, 2, 3a, 3b, 4, 5, 6, 7, 8, 9, 10, 11a, 11b, 12a, 12b, 13a and 13b**);

4. Facsimile of originally executed Declaration/Power of Attorney;
5. Facsimile of originally executed Assignment and PTO 1595 Assignment Recordation Cover Sheet; and
6. Two (2) return postcards

It is respectfully requested that, of the two attached postcards, one be stamped with the filing date of these documents and returned to our courier, and the other, prepaid postcard, be stamped with the filing date and unofficial application number and returned as soon as possible.



*Box New Applications*

Attorney Docket No. 06192.0273.NPUS00

October 16, 2001

Page 2

This application is filed in a language other than English under 37 C.F.R. § 1.52(d). An English translation of the non-English language application, a statement that the translation is accurate and the fee set forth in 37 C.F.R. § 1.17(k) will be filed within such time as may be set by the U.S. Patent and Trademark Office.

The U.S. Patent and Trademark Office is hereby authorized to charge the Basic Application Filing and extra claims fee in the amount of \$824.00, Assignment Recordation Fee in the amount of \$40.00 and any other fees to our Deposit Account No. 08-3038 referencing docket number 06192.0273.NPUS00. *A copy of this letter is enclosed.*

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Michael J. Bell".

Michael J. Bell  
Registration No. 39,604

Enclosures

Inventor: Dong-Gyu KIM  
Appn No.: To Be Accorded  
Filing Date: October 16, 2001  
For: Color Filter Plate And Thin Film Transistor  
Plate For Liquid Crystal Display, And  
Methods For Fabricating The Plates

Date: October 16, 2001  
Group Art.: TBD  
Examiner: TBA  
Atty. Docket: 06192.0273.NPUS00  
10/16/01

**Box New Applications**

Assistant Commissioner of Patents and Trademarks:

*Please place the Patent Office receipt stamp hereon to acknowledge receipt of the following:*

1. New Utility Non-Provisional Patent Application Transmittal Letter (in duplicate);
2. PTO SB/05;
3. PTO 1082;
4. Korean Language Patent Application comprising a specification containing: 20 pages of description prior to the claims; 5 pages of claims; a one (1) page abstract; and 16 sheets of drawings; (Figures 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11a, 11b, 12a, 12b and 13a);
5. Facsimile of originally executed Declaration/Power of Attorney;
6. Facsimile of originally executed Assignment and Assignment Recordation Cover Sheet; and
7. Two Return postcards

Michael J. Bell  
Registration No. 39,604

Return File to Hae-Chan Park



09/977684  
JC879 U.S. PRO  
10/16/01



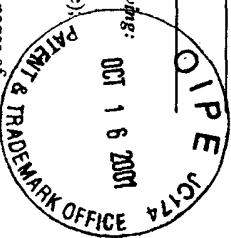
Inventor:	Dong-Gyu KIM	Date:	October 16, 2001
App. No.:	To be Accorded	Group Art.:	TBD
Filing Date:	October 16, 2001	Examiner:	TBA
For:	Color Filter Plate And Thin Film Transistor Plate For Liquid Crystal Display, And Methods For Fabricating The Plates	Atty. Docket:	06/92/0273.NPUS00

***Box New Applications***

Assistant Commissioner of Patents and Trademarks:

\*Please place the Patent Office receipt stamp hereon to acknowledge receipt of the following:

1. New Utility Non-Provisional Patent Application Transmittal Letter (in duplicate);
2. PTO SB/05;
3. PTO 1082
4. Korean Language Patent Application comprising a specification containing: 20 pages of description prior to the claims; 5 pages of claims; a one (1) page abstract; and 16 sheets of drawings; (Figures 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11a, 11b, 12a, 12b and 13a);
5. Facsimile of originally executed Declaration/Power of Attorney;
6. Facsimile of originally executed Assignment and Assignment Recordation Cover Sheet; and
7. Two Return postcards



Michael J. Bell  
Registration No. 39,604

Return File to Hae-Chan Park



**UNITED STATES PATENT AND TRADEMARK OFFICE**



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/977,684	10/16/2001	Dong-Gyu Kim	6192.0273.AA

**CONFIRMATION NO. 3843**

**FORMALITIES LETTER**



\*OC000000007853396\*

McGuire Woods LLP  
1750 Tysons Boulevard  
Suite 1800  
McLean, VA 22102-4215

Date Mailed: 04/11/2002

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

***A copy of this notice MUST be returned with the reply.***

Hannan@tun

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/25/2002 SSESHE1 00000102 231951 09977684

01 FC:105 130.00 CH